

PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Safety and Enforcement Division
Rail Transit Safety Branch

Resolution ST-172
February 25, 2016

REDACTED

RESOLUTION

**RESOLUTION ST-172 GRANTING APPROVAL OF THE FINAL REPORT
ON THE 2014 TRIENNIAL ON-SITE SECURITY REVIEW OF SANTA
CLARA VALLEY TRANSPORTATION AUTHORITY**

Summary

This resolution approves the California Public Utilities Commission Safety and Enforcement Division final report titled, "2014 Triennial On-Site Security Review Of Santa Clara Valley Transportation Authority," dated November 24, 2015. The report compiles the results of Commission Staff review of the Santa Clara Valley Transportation Authority security program. Background information and review procedures only are included in the redacted report.

Background

Commission General Order No. 164-D, "Rules and Regulations Governing State Safety Oversight of Rail Fixed Guideway Systems" requires Commission Staff (Staff) to conduct on-site security review of the transit agencies operating rail fixed guideway systems triennially.

From 1996 to 2008, the Commission's Rail Transit Safety Branch (RTSB) partnered with the Transportation Security Administration (TSA) in performing rail transit security reviews. However, in the later half of 2008, RTSB took over the responsibility of security reviews from the TSA. Staff conducted an on-site security review of the Santa Clara Valley Transportation Authority (SCVTA) security program in October 2014. SCVTA is Santa Clara County's Transportation Authority, which provides

bus and transit rail services 365 days of the year throughout the county of Santa Clara.

A full description of the security review, including the scope, results, and recommendations, is contained in the final security review report identified in this resolution as Attachment A.

The security review results show that the SCVTA is in compliance with its System Security Plan. Staff did not find any areas of non-compliance during the review. Activities of each checklist can be found in Section D of the security report.

Staff sent SCVTA Security Department (Protective Services) a copy of the draft security review report for a 30-day comment period on August 21, 2015. SCVTA filed their comments on the draft security review report electronically on September 23, 2015.

Discussion

The final report, "2014 Triennial On-Site Security Review Of Santa Clara Valley Transportation Authority," dated November 24, 2015, included as Attachment A, identifies Staff's activities for each of the five Federal Transit Administration security elements evaluated during the security review. Staff recommends the Commission approve the final security review report titled, "2014 Triennial On-Site Security Review Of Santa Clara Valley Transportation Authority," dated November 24, 2015.

Notice

On January 18, 2016, this Resolution was published on the Commission's Daily Calendar.

Confidential Information

Public Utilities Code Section 583 states: "No information furnished to the commission by a public utility, or any business which is a subsidiary or affiliate of a public utility, or corporation which holds a controlling interest in a public utility, except those matters specifically required to be open to public inspection by this part, shall be open to public inspection or made

February 25, 2016

public except on order of the commission, or by the commission or a commissioner in the course of a hearing or proceeding. Any present or former officer or employee of the commission who divulges any such information is guilty of a misdemeanor."

The confidential appendices, marked "[CONFIDENTIAL]" in the public copy of this resolution should remain confidential at this time.

Comments

The draft resolution of the Safety and Enforcement Division in this matter was mailed to the Parties of Interest on January 15, 2016, in accordance with Section 311 of the Public Utilities Code and Rule 14.2(c) of the Commission's Rules of Practice and Procedure. _____ comments were received.

Therefore, IT IS ORDERED that:

1. The Safety and Enforcement Division's request for approval of the final security review report titled, "2014 Triennial On-Site Security Review Of Santa Clara Valley Transportation Authority," dated November 24, 2015, is granted.
2. This resolution is effective today.

I certify that this resolution was adopted by the Public Utilities Commission at its regular meeting held on February 25, 2016. The following Commissioners voting favorably thereon:

TIMOTHY J. SULLIVAN
Executive Director

ATTACHMENT A